




City of Seattle
City Budget Office

MEMORANDUM

DATE: May 21, 2013

TO: Councilmember Tim Burgess, Chair
Government Performance and Finance Committee

Councilmember Bruce Harrell, Chair
Public Safety, Civil Rights and Technology Committee

FROM: Beth Goldberg, Director, City Budget Office 

VIA: Jaline Quinto, Mayor's Office

SUBJECT: **Executive Report on Department of Justice Expenditures for April 2013**

This memorandum is in response to the 2013 Adopted Budget proviso from Green Sheet 67-1-A-1, which requested that Executive submit monthly expense reports documenting any funds expended or encumbered related to the Department of Justice Settlement Agreement (DOJ SA), whether from the amount in Finance General reserves or from other City funds. The report submitted to you today is the next installment in the monthly reporting as required by the proviso. It reflects financial activity for April 2013.

The City spent \$491,048 on DOJ implementation in April, as shown in Table 1 on the following page. As shown in Table 2, cumulatively, the City has spent \$3,117,771 on Settlement Agreement implementation since the City began explicitly tracking costs in October 2012.

**Table 1: DOJ Expenditures by Category
April 1 through 30, 2013 and Year-to-Date**

| | FTE adds | Budget (Reserve + Dept) | April Expenditures | YTD Expenditures | Remaining Budget |
|--------------------------------|---------------------|--|-------------------------------|-----------------------------|-----------------------------|
| Monitor | 0 | \$880,000 | \$75,942 | \$348,721 | \$531,279 |
| Community Police Commission | 3 | \$407,865 | \$13,053 | \$50,652 | \$382,585 |
| SPD Response ¹ | 7 | \$4,214,873 | \$402,053 | \$1,535,509 | \$3,479,922 |
| Unbudgeted Reserve | 0 | \$532,525 | \$0 | \$0 | \$532,525 |
| TOTAL² | 10 | \$6,035,263 | \$491,048 | \$1,934,882 | \$4,100,381 |

1 For additional details, please refer to the attached spreadsheet.

2 These positions were included in Council Bills 117727 and 117734

**Table 2: DOJ Expenditures by Category
Project to Date**

| | Expenditures Through March 31, 2013 | April 2013 | Total Expenditures to Date |
|--------------------------------|--|-------------------|---|
| Monitor | \$372,779 | \$75,942 | \$448,721 |
| Community Police Commission | \$73,267 | \$13,053 | \$86,319 |
| SPD Response | \$2,180,678 | \$402,053 | \$2,582,731 |
| Unbudgeted Reserve | \$0 | \$0 | \$0 |
| TOTAL | \$2,626,723 | \$491,048 | \$3,117,771 |

Attached to this memo for reference is a report of the types of activities supported by SPD expenditures between April 1 and April 30, 2013 and cumulatively to date. Note that the budget and expenditure report captures discrete expenses associated with the development and implementation of new training, policies and procedures required by the Settlement Agreement; they do not attempt to capture the costs of time that personnel may spend in complying with new policies and procedures. Also, as noted in prior correspondence with Council staff, the breakdown of expenditures is based on SPD's 2012 tracking codes, and will be further refined going forward. SPD continues to focus its energies on all aspects of implementation of the Settlement Agreement, including developing a comprehensive training curriculum and policies and procedures to ensure SPD continues to reform its practices in compliance with the Settlement Agreement.

Funding and positions provided to date in 2013 represent what SPD requires to meet Settlement Agreement requirements based on the assessment of workload capacity. This amount does not include funding that may be necessary to carry out additional tasks as requested or defined by the Monitor. To the extent these additional tasks require resources, CBO will work closely with SPD to identify the costs and will inform the Council of such developments.

We look forward to discussing this update in more detail as Council desires at upcoming meetings of the Government Performance and Finance Committee and the Public Safety, Civil Rights and Technology Committee.

If you have questions about this report, please contact Candice Livingston at 3-7274.

Attachments: Council Green Sheet 67-1-A-1
April 2013 DOJ SA SPD Expenditure Report

cc: Council President Sally J. Clark
Councilmember Nick Licata
Councilmember Mike O'Brien
Acting Chief of Police Jim Pugel
Ben Noble, Council Central Staff
Peter Harris, Council Central Staff
Dan Eder, Council Central Staff
Nate Van Duzer, Councilmember Burgess' Office
Jennifer Samuels, Councilmember Harrell's Office
Susana Serna, Council Central Staff
Carl Marquardt, Mayor's Office
Beth Hester, Mayor's Office
Hall Walker, CBO
Tom Mikesell, CBO
Candice Livingston, CBO

| Tab | Action | Option | Version |
|-----|--------|--------|---------|
| 67 | 1 | A | 1 |

Budget Action Title: Impose a proviso on the Finance General Reserves BCL for Department of Justice Settlement Agreement Public Safety Reserves

Councilmembers: Budget Committee

Staff Analyst: Christa Valles

Council Bill or Resolution:

| Date | | Total | SB | BH | TR | RC | TB | NL | JG | SC | MO |
|------|---------|-------|----|----|----|----|----|----|----|----|----|
| | Yes | | | | | | | | | | |
| | No | | | | | | | | | | |
| | Abstain | | | | | | | | | | |
| | Absent | | | | | | | | | | |

Budget Action description:

This green sheet imposes the following budget proviso:

"No more than \$300,000 appropriated in the 2013 budget for Finance General's Reserves BCL may be spent for the Department of Justice Settlement Agreement until authorized by future ordinance."

Background

The Mayor has placed approximately \$4.6 million in Finance General Reserves in both 2013 and 2014 to cover potential expenses associated with the Department of Justice (DOJ) Settlement Agreement. This proviso will require the Executive to request Council authorization before it can spend more than \$300,000 of these funds in 2013, which is approved upfront to account for possible expenditures incurred in early 2013.

To provide the information needed to monitor DOJ-related spending and to support Council's consideration of future appropriation requests, Council requests the Executive submit monthly expense reports documenting any funds expended or encumbered related to the Department of Justice Settlement Agreement, whether from the amount in Finance General Reserves or from other City funds. The report for a given month shall be sent by no later than the 20th of the following month to the Chairs of the Government Performance and Finance Committee and Public Safety, Civil Rights, and Technology Committee.

April 2013 DOJ SA SPD Expenditure Report

| | DoJ Item Description | 2013 Adopted Budget (Reserve Amount) | 2013 SPD Baseline Funding | 2013 Total Budget | Total April Expenses | Project to Date Expenditures |
|----------------------------------|--|--------------------------------------|---------------------------|-------------------|----------------------|------------------------------|
| COMPLIANCE | | | | | | |
| | Compliance Coordinator (Executive 2) | \$195,000 | \$0 | \$195,000 | \$14,463 | \$95,243 |
| | Administrative Staff Assistant | \$87,300 | \$0 | \$87,300 | \$5,988 | \$32,813 |
| | Add new Strategic Advisor 2 position or equivalent | \$124,510 | \$0 | \$124,510 | \$10,458 | \$11,884 |
| OTHER COSTS | | | | | | |
| | Regular Time | \$0 | \$0 | \$0 | \$0 | \$95 |
| | Overtime | \$0 | \$0 | \$0 | \$1,097 | \$5,066 |
| | Purchases & Other | \$0 | \$0 | \$0 | \$0 | \$2,690 |
| TRAINING | | | | | | |
| <i>Curriculum Development</i> | | | | | | |
| | 3 New Detective positions (3 re-assigned see below, 3 new) ("Policy/Training/Audit Team") Regular time | \$400,000 | \$400,000 | \$800,000 | \$68,806 | \$261,489 |
| | Overtime for Policy/Training/Audit | \$1,000,000 | \$650,000 | \$1,650,000 | \$28,280 | \$263,121 |
| OTHER COSTS | | | | | | |
| | Regular Time . | \$0 | \$0 | \$0 | \$0 | \$784,222 |
| | Overtime (Train Trainers) | \$0 | \$0 | \$0 | \$0 | \$42,824 |
| | Purchases | \$0 | \$0 | \$0 | \$0 | \$58,023 |
| <i>Training Development .</i> | | | | | | |
| | Overtime for Crisis Intervention Training | \$100,000 | \$0 | \$100,000 | \$0 | \$0 |
| | Add Video Specialist II | \$91,100 | \$0 | \$91,100 | \$0 | \$0 |
| OTHER COSTS | | | | | | |
| | Reg time | \$0 | \$0 | \$0 | \$0 | \$97,835 |
| | Overtime | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Purchases | \$0 | \$0 | \$0 | \$1,071 | \$1,071 |
| DATA REQUIREMENTS | | | | | | |
| | Management Systems Analyst Senior | \$109,000 | \$0 | \$109,000 | \$0 | \$0 |
| | Add IT Prof B | \$142,200 | \$0 | \$142,200 | \$0 | \$0 |
| | Consultant costs for IT | \$100,000 | \$0 | \$100,000 | \$0 | \$95,000 |
| | Reg time | \$0 | \$0 | \$0 | \$6,842 | \$63,942 |
| | Overtime | \$0 | \$0 | \$0 | \$628 | \$4,004 |
| | Purchases | \$0 | \$0 | \$0 | | \$1,891 |
| SUPERVISION | | | | | | |
| | First Line Supervisors | \$0 | \$0 | \$0 | \$0 | \$0 |
| OTHER COSTS | | | | | | |
| | Reg time | | \$0 | \$0 | \$0 | \$0 |
| | Overtime other | | \$0 | \$0 | \$0 | \$0 |
| | Purchases | | \$0 | \$0 | \$0 | \$0 |
| <i>Force Investigations Team</i> | | | | | | |
| | FIT Staffing | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Overtime for FIT staff | \$60,000 | \$0 | \$60,000 | \$2,154 | \$11,577 |
| | Add 2 leased Vehicles for callouts | \$30,000 | \$0 | \$30,000 | \$0 | \$0 |
| | Reg time | \$0 | \$0 | \$0 | \$0 | \$3,985 |
| | Overtime | \$0 | \$0 | \$0 | \$0 | \$8,550 |
| | Purchases and Travel | \$0 | \$0 | \$0 | \$0 | \$59,723 |

April 2013 DOJ SA SPD Expenditure Report

| | DoJ Item Description | 2013 Adopted Budget (Reserve Amount) | 2013 SPD Baseline Funding | 2013 Total Budget | Total April Expenses | Project to Date Expenditures |
|---|---|--------------------------------------|---------------------------|--------------------|----------------------|------------------------------|
| POLICY DEVELOPMENT (or DoJ Response work by PSS) | | | | | | |
| <i>Policy Development</i> | | | | | | |
| | Regular Time | | | | \$97,958 | \$354,358 |
| | Overtime for Professional Standards Section staff Officers & Detectives | \$85,000 | \$0 | \$85,000 | \$105,541 | \$160,322 |
| OTHER COSTS | | | | | | |
| | Regular Time | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Overtime | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Purchases and Travel | \$0 | \$0 | \$0 | \$48,453 | \$92,110 |
| OPA/HUMAN RESOURCES WORK | | | | | | |
| | OPA Liason Officers | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Overtime for OPA staff | \$100,000 | \$0 | \$100,000 | \$0 | \$0 |
| | Early Intervention System -Sergeant | \$143,000 | \$0 | \$143,000 | \$0 | \$0 |
| OTHER COSTS | | | | | | |
| | Reg time | \$0 | \$0 | \$0 | \$76 | \$1,367 |
| | Overtime | \$0 | \$0 | \$0 | \$0 | \$339 |
| | Purchases | \$0 | \$0 | \$0 | \$0 | \$0 |
| OVERLAPPING DATA REQUIREMENTS--CITY AUDITOR/SA | | | | | | |
| | IT Pro B to Develop Data Driven Policing | \$142,165 | \$0 | \$142,165 | \$0 | \$0 |
| | IT Consultant | \$40,000 | \$0 | \$40,000 | \$0 | \$40,000 |
| | Video Specialist II | \$91,087 | \$0 | \$91,087 | \$5,313 | \$5,313 |
| | Strategic Advisor 2 | \$124,511 | \$0 | \$124,511 | \$0 | \$0 |
| OTHER COSTS | | | | | | |
| | Reg time | \$0 | \$0 | \$0 | \$4,925 | \$21,865 |
| | Overtime | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Purchases | \$0 | \$0 | \$0 | \$0 | \$2,011 |
| | TOTALS | \$3,164,873 | \$1,050,000 | \$4,214,873 | \$402,053 | \$2,582,731 |
| | Overtime Summary | | | | \$137,700 | \$439,759 |
| | Regular Time Summary | | | | \$214,829 | \$929,057 |